

Model Building with F-Theory GUTs

Ioannina 2012

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07/04/2012

Outline

- Introduction and motivation
- Local F-theory models
- Model which stabilises the proton and forbids R-parity violating operators
- Issues to be addressed

Introduction and Motivation

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- A class of models which have these properties are **F-theory GUTs**

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- F-theory is a 12d strongly coupled formulation of type IIB superstring theory

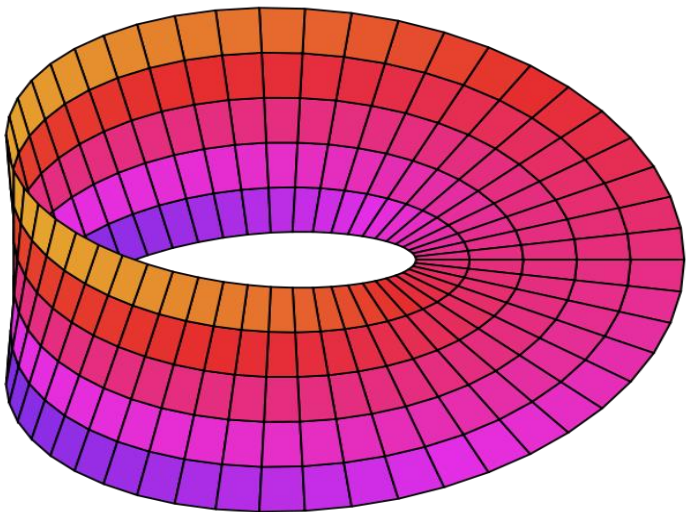
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- The 12 dimensional theory can be broken up into the 4 large spacetime dimensions, 6 internal dimensions, and 2 additional dimensions which encode the variation of the real and imaginary parts of the axio-dilaton
- The formal language of **global** F-theory models is that of an elliptically fibred Calabi-Yau fourfold, X , with a threefold base, B_3

Moebius Strip- Example of a Fibre Bundle



- The form of the elliptic fibration is

$$y^2 + \alpha_1 xy + \alpha_3 y = x^3 + \alpha_2 x^2 + \alpha_4 x + \alpha_6$$

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- To get a local model, we assign scaling dimensions to the coordinates, and discard irrelevant terms, eg.

$$E_6 : y^2 = x^3 + b_3 y z^2 + b_2 x z^3 + b_0 z^5$$

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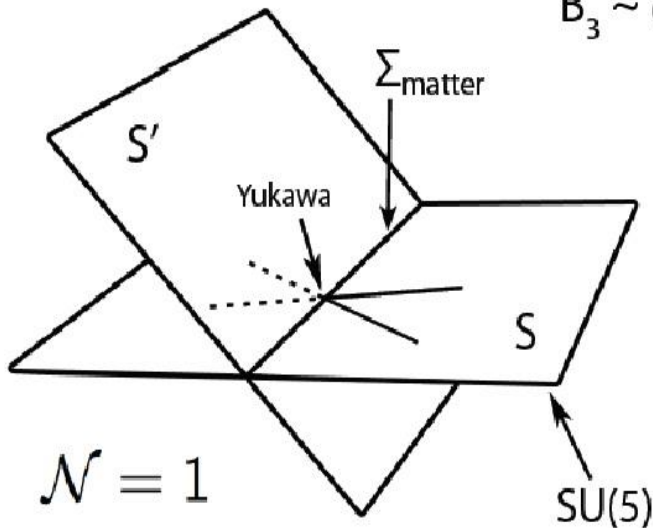
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- Intersections of the gauge brane with other 7-branes wrapping surfaces S_i and supporting gauge groups G_i gives rise to **matter curves** $\Sigma_i = S \cap S_i$. Along the matter curves, the local symmetry group is enhanced to $G_{\Sigma_i} \supset G_S \times G_i$

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- **Different view**: larger gauge group, broken by a position dependent VEV for an adjoint Higgs field

$B_3 \sim \text{gravity}$ 

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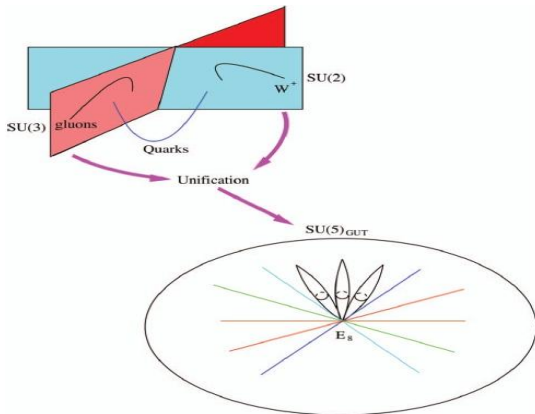
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- The equations for the curves in terms of the weights t_i ($i = 1, \dots, 5$, $\sum t_i = 0$), of the 5 representation of $SU(5)$ are

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- Non linear \rightarrow **Monodromies**

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Field	$SU(5) \times SU(5)_\perp$	$SU(5)_\perp$ component
Q_3, U_3^c, I_3^c	$(10, 5)$	$t_{1,2}$
Q_2, U_2^c, I_2^c	$(10, 5)$	t_3
Q_1, U_1^c, I_1^c	$(10, 5)$	t_4
D_3^c, L_3	$(\bar{5}, 10)$	$t_3 + t_5$
D_2^c, L_2	$(\bar{5}, 10)$	$t_1 + t_3$
D_1^c, L_1	$(\bar{5}, 10)$	$t_1 + t_4$
H_u	$(5, \bar{10})$	$-t_1 - t_2$
H_d	$(\bar{5}, 10)$	$t_1 + t_4$
θ_{ij}	$(1, 24)$	$t_i - t_j$

Table: Matter Fields and their assignments (King, Leontaris, Ross)

Family Symmetries

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- The up quark Yukawa operators $Q_i U_j^c H_u$ require the following flavons to balance the charges

- $$Q_i U_j^c H_u \begin{pmatrix} \theta_{14}^2 & \theta_{13}\theta_{14} & \theta_{14} \\ \theta_{13}\theta_{14} & \theta_{13}^2 & \theta_{13} \\ \theta_{14} & \theta_{13} & 1 \end{pmatrix} \rightarrow Y^u \sim \begin{pmatrix} \epsilon^6 & 3\epsilon^5 & \epsilon^3 \\ 3\epsilon^5 & 9\epsilon^4 & 3\epsilon^2 \\ \epsilon^3 & 3\epsilon^2 & 1 \end{pmatrix}$$

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- The down quark Yukawa operators $Q_i D_j^c H_d$ require the following flavons to balance the charges

- $$Q_i D_j^c H_d \begin{pmatrix} \theta_{54}\theta_{34} & \theta_{54} & \theta_{14} \\ \theta_{54} & \theta_{53} & \theta_{13} \\ \theta_{31}\theta_{54} + \theta_{34}\theta_{51} & \theta_{23} & \theta_{23} \end{pmatrix} \rightarrow Y^d \sim \begin{pmatrix} 0 & \epsilon^3 & \epsilon^3 \\ \epsilon^3 & \epsilon^2 & 3\epsilon^2 \\ 0 & 0 & 1 \end{pmatrix}$$

- The additional choices $\theta_{53} = \epsilon^2$, $\theta_{54} = \epsilon^3$, $\theta_{31} = 0$ have been made

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- Fluxes **inside** GUT group

Flux breaking

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Flux can be used to break down to the SM, leading to splitting equations

$$10 = \begin{cases} \text{Rep.} & \# \\ n_{3,2}^1 - n_{\bar{3},2}^1 & : M_{10} \\ n_{3,1}^1 - n_{\bar{3},1}^1 & : M_{10} - N \\ n_{1,1}^1 - n_{\bar{1},1}^1 & : M_{10} + N \end{cases} \quad 5 = \begin{cases} \text{Rep.} & \# \\ n_{3,1}^1 - n_{\bar{3},1}^1 & : M_5 \\ n_{1,2}^1 - n_{\bar{1},\bar{2}}^1 & : M_5 + N \end{cases}$$

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The Ms and Ns are integers given by the flux dotted with the [homology](#) class of the matter curve.

The flux associated with M respects the GUT structure, and so is a flux in the perpendicular U(1)s.

The flux associated with N is a hypercharge flux, and leads to incomplete SU(5) multiplets.

E_6 Origin of $SU(5)$ Matter	$U(1)_i$	homology	$U(1)_Y$ -flux	$U(1)$ -flux
$27_{t_1} \supset 16_{t_1} \supset 10_M$	$t_{1,2}$	$\eta - 2c_1 - \chi$	$-N$	M_{10_1}
$27_{t_3} \supset 16_{t_3} \supset 10_2$	t_3	$-c_1 + \chi_5$	N_5	M_{10_2}
$78 \supset 16_{t_4} \supset 10_3$	t_4	$-c_1 + \chi_7$	N_7	M_{10_3}
$78 \supset 45_{t_5} \supset 10_4$	t_5	$-c_1 + \chi_9$	N_9	M_{10_4}
$27_{t_3} \supset 10_{t_3} \supset 5_{H_u}$	$-t_1 - t_2$	$-c_1 + \chi$	N	$M_{5_{H_u}}$
$27_{t_1} \supset 10_{t_1} \supset 5_1$	$-t_{1,2} - t_3$	$\eta - 2c_1 - \chi$	$-N$	M_{5_1}
$27_{t_1} \supset 10_{t_1} \supset 5_2$	$-t_{1,2} - t_4$	$\eta - 2c_1 - \chi$	$-N$	M_{5_2}
$27_{t_1} \supset 16_{t_1} \supset 5_3$	$-t_{1,2} - t_5$	$\eta - 2c_1 - \chi$	$-N$	M_{5_3}
$27_{t_3} \supset 10_{t_3} \supset 5_4$	$-t_3 - t_4$	$-c_1 + \chi - \chi_9$	$N - N_9$	M_{5_4}
$27_{t_3} \supset 16_{t_3} \supset 5_5$	$-t_3 - t_5$	$-c_1 + \chi - \chi_7$	$N - N_7$	$M_{5_{h_d}}$
$78 \supset 16_{t_4} \supset 5_6$	$-t_4 - t_5$	$-c_1 + \chi - \chi_5$	$N - N_5$	M_{5_6}

Table: Field representation content under $SU(5) \times U(1)_{t_i}$, their homology class and flux restrictions. For convenience, only the properties of 10, 5 are shown. $\overline{10}, \overline{5}$ are characterized by opposite values of $t_i \rightarrow -t_i$ etc. Note that the fluxes satisfy $N = N_5 + N_7 + N_9$ and $\sum_i M_{10_i} + \sum_j M_{5_j} = 0$ while $\chi = \chi_5 + \chi_7 + \chi_9$.

We know about the origins of the singlets also

Singlet	Q_X	Q_ψ	Representations
θ_{12}	0	0	SO(10) singlet in 78
θ_{13}	0	0	$45 \subset 78$
θ_{14}	0	4	SO(10) singlet in $27_{t_{1,2}}$
θ_{15}	-5	1	$16_{t_{1,2}} \subset 27_{t_{1,2}}$
θ_{34}	0	4	SO(10) singlet in 27_{t_3}
θ_{35}	-5	-1	$16_{t_3} \subset 27_{t_3}$
θ_{45}	-5	-3	$16_{t_4} \subset 78$

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$E_6, SO(10), SU(5)$	Charge	N_Y	$M_{U(1)}$	SM particle content
$27_{t_1}, 16_{t_1}, \bar{5}_3$	$t_1 + t_5$	\tilde{N}	$-M_{5_3}$	$-M_{5_3} d^c + (-M_{5_3} + \tilde{N})L$
$27_{t_1}, 16_{t_1}, 10_M$	t_1	$-\tilde{N}$	$-M_{5_3}$	$-M_{5_3} Q + (-M_{5_3} + \tilde{N})u^c + (-M_{5_3} - \tilde{N})e^c$
$27_{t_1}, 16_{t_1}, \theta_{15}$	$t_1 - t_5$	0	$-M_{5_3}$	$-M_{5_3} \nu^c$
$27_{t_1}, 10_{t_1}, 5_1$	$-t_1 - t_3$	$-\tilde{N}$	$-M_{5_3}$	$-M_{5_3} D + (-M_{5_3} - \tilde{N})H_u$
$27_{t_1}, 10_{t_1}, \bar{5}_2$	$t_1 + t_4$	\tilde{N}	$-M_{5_3}$	$-M_{5_3} \bar{D} + (-M_{5_3} + \tilde{N})H_d$
$27_{t_1}, 1_{t_1}, \theta_{14}$	$t_1 - t_4$	0	$-M_{5_3}$	$-M_{5_3} S$
$27_{t_3}, 16_{t_3}, \bar{5}_5$	$t_3 + t_5$	$-\tilde{N}$	$M_{5_{H_u}}$	$M_{5_{H_u}} d^c + (M_{5_{H_u}} - \tilde{N})L$
$27_{t_3}, 16_{t_3}, 10_2$	t_3	\tilde{N}	$M_{5_{H_u}}$	$M_{5_{H_u}} Q + (M_{5_{H_u}} - \tilde{N})u^c + (M_{5_{H_u}} + \tilde{N})e^c$
$27_{t_3}, 16_{t_3}, \theta_{35}$	$t_3 - t_5$	0	$M_{5_{H_u}}$	$M_{5_{H_u}} \nu^c$
$27_{t_3}, 10_{t_3}, 5_{H_u}$	$-2t_1$	\tilde{N}	$M_{5_{H_u}}$	$M_{5_{H_u}} D + (M_{5_{H_u}} + \tilde{N})H_u$
$27_{t_3}, 10_{t_3}, \bar{5}_4$	$t_3 + t_4$	$-\tilde{N}$	$M_{5_{H_u}}$	$M_{5_{H_u}} \bar{D} + (M_{5_{H_u}} - \tilde{N})H_d$
$27_{t_3}, 1_{t_3}, \theta_{34}$	$t_3 - t_4$	0	$M_{5_{H_u}}$	$M_{5_{H_u}} S$

Spectrum

Spectrum

- Choosing the case $M_{5_3} = -3$, $M_{5_{H_u}} = 0$ and $\tilde{N} = 1$, the spectrum is
- $3[Q, u^c, d^c, L, e^c, \nu^c]_{16}$
- $3[H_u, D, H_d, \bar{D}]_{10}$
- $3[S]_1$
- $L + \bar{L}, e^c + \bar{e}^c, u^c + \bar{u}^c, H_d + \bar{H}_d$

Spectrum

- Choosing the case $M_{5_3} = -3$, $M_{5_{H_u}} = 0$ and $\tilde{N} = 1$, the spectrum is
- $3[Q, u^c, d^c, L, e^c, \nu^c]_{16}$
- $3[H_u, D, H_d, \bar{D}]_{10}$
- $3[S]_1$
- $L + \bar{L}, e^c + \bar{e}^c, u^c + \bar{u}^c, H_d + \bar{H}_d$
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- With this choice, however, H_d comes from 27_{t_1} and so down quark masses are forbidden at tree level!
- \Rightarrow Slightly modified structure:

$$M_{10_M} = -M_{5_3} = 4,$$

$$M_{5_1} = -M_{5_2} = 3$$

$$M_{10_2} = -M_{5_5} = -1,$$

$$M_{5_4} = M_{H_u} = 0,$$

$$M_{\theta_{15}} = 2,$$

$$\tilde{N} = 1$$

E_6	$SO(10)$	$SU(5)$	N_Y	$M_{U(1)}$	SM particle content	Low energy
$27_{t'_1}$	16	$\bar{5}_3$	1	4	$4d^c + 5L$	$3d^c + 3L$
$27_{t'_1}$	16	10_M	-1	4	$4Q + 5u^c + 3e^c$	$3Q + 3u^c + 3e^c$
$27_{t'_1}$	16	θ_{15}	0	3	$3\nu^c$	-
$27_{t'_1}$	10	5_1	-1	3	$3D + 2H_u$	-
$27_{t'_1}$	10	$\bar{5}_2$	1	3	$3\bar{D} + 4H_d$	H_d
$27_{t'_3}$	16	$\bar{5}_5$	-1	-1	$\bar{d}^c + 2\bar{L}$	-
$27_{t'_3}$	16	10_2	1	-1	$\bar{Q} + 2\bar{u}^c$	-
$27_{t'_3}$	16	θ_{35}	0	0	-	-
$27_{t'_3}$	10	5_{H_u}	1	0	H_u	H_u
$27_{t'_3}$	10	$\bar{5}_4$	-1	0	\bar{H}_d	-
$27_{t'_3}$	1	θ_{34}	0	1	θ_{34}	-
-	1	θ_{31}	0	4	θ_{31}	-
-	1	θ_{53}	0	1	θ_{53}	-
-	1	θ_{14}	0	3	θ_{14}	-
-	1	θ_{45}	0	2	θ_{45}	-

- The vector pairs with components in the 27_{t_1} and 27_{t_3} multiplets are removed by introducing θ_{31} which is a singlet of E_6 and has couplings:

$$\theta_{31} 27_{t'_1} \overline{27_{t'_3}} = \theta_{31} Q \overline{Q} + \theta_{31} (2u^c)(\overline{2u^c}) + \theta_{31} d^c \overline{d^c} + \theta_{31} (2L)(\overline{2L}) + \theta_{31} H_d \overline{H_d}$$

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- If θ_{31} gets a large VEV these vector states get large masses as required.
- To remove the remaining exotics we introduce θ_{34} which has the couplings :

$$\theta_{34} 5_1 \overline{5}_2 = \theta_{34} [3D + 2H_u][3\overline{D} + 3H_d] = \theta_{34} [3(D\overline{D})] + \theta_{34} [2(H_u H_d)]$$

If it too acquires a large VEV it generates large mass to the three copies of $D + \overline{D}$ (solving the doublet-triplet splitting problem) and two families of Higgs H_u, H_d , leaving just the MSSM spectrum

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- To determine the large singlet VEVs we consider the D -flatness conditions. The D -flatness conditions are given by

$$\sum_j Q_{ij}^A (|\langle \theta_{ij} \rangle|^2 - |\langle \theta_{ji} \rangle|^2) = -\frac{\text{Tr} Q^A}{192\pi^2} g_s^2 M_S^2$$

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- Using the spectrum given in our model we compute $\text{Tr} Q^A$ for the three $U_A(1)$ s. In a general basis, $Q = \text{diag}[t_1, t_2, t_3, t_4, t_5]$, we have

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- For our model, this trace is computed to be

$$\text{Tr} Q^A = 61t_1 - 26t_3 + 14t_4 + 11t_5$$

- Applying this to the three $U_A(1)$ s leads to

$$\begin{aligned}
 5|\theta_{53}|^2 &= 5X (Q_X) \\
 -|\theta_{53}|^2 + 4|\theta_{34}|^2 &= 7X (Q_\psi) \\
 2|\theta_{53}|^2 - 2|\theta_{34}|^2 - 3|\theta_{31}|^2 &= -113X (Q_\perp)
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- The singlet θ_{14} could get a TeV VEV in order to generate the μ term $\theta_{14} H_u H_d$.

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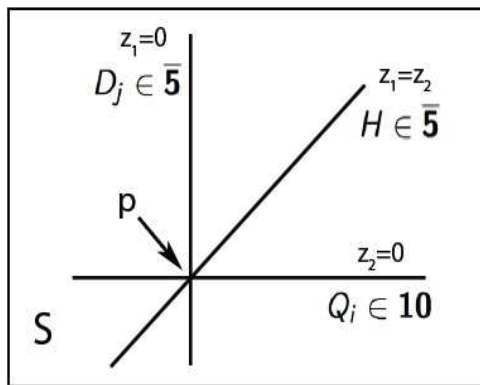
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- Allowing for arbitrary singlet fields to acquire VEVs the dangerous the baryon- and lepton-number violating operators arise through the terms $\theta_{15} L H_u$, $(\theta_{31}\theta_{45} + \theta_{41}\theta_{35})10_M \overline{5}_3^2$ and $\theta_{31}\theta_{41}10_M^3 \overline{5}_3$.

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- \Rightarrow provided θ_{15} , θ_{41} and θ_{45} do *not* acquire VEVs these dangerous terms will not arise.

Yukawa Couplings in F-Theory



Yukawa couplings are given by triple wavefunction overlap integrals

- The zero mode wavefunctions are

$$Q_i \propto \left(\frac{z_1}{R_1}\right)^{3-i} e^{-|\lambda_1 z_2|^2}$$

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- The Yukawa coupling is then given by

$$Y_{ij} \propto \int d^2 z_1 d^2 z_2 \left(\frac{z_1}{R_1}\right)^{3-i} \left(\frac{z_2}{R_2}\right)^{3-j} \Omega(z_1, \bar{z}_1, z_2, \bar{z}_2)$$

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- As such, we only have a non zero result for the 3,3 component, unless Ω carries non trivial charge under the geometric $U(1)$ s.

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We can use these techniques to understand proton decay operators better

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- Work in progress....